## FORM PTO 1449 (modified)

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)

ATTY DOCKET NO. 03500.010106.5 APPLICATION NO.

NOT YET ASSIGNED

**APPLICANT** 

TOSHIKAZU OHNISHI ET AL.

GROUP FILING DATE **FILED HEREWITH** 

2879

				176,001111		
			U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	6,348,761 B1	2/02	Nomura et al.	313	495	6/94
	4,949,019	8/90	Isaka et al.	445	6	
	5,066,883	11/91	Yoshioka et al.	313	309	
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	4,954,744	9/90	Suzuki et al.	313	336X	
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	5,256,936	10/93	Itoh et al.	313	309X	
	5,141,460	8/92	Jaskie et al.	313	309X	
		FC	DREIGN PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRAC
	0523702A1	1/93	EPO			Abstract
	1283749A	11/89	JAPAN			Abstract
	A1309242	12/89	JAPAN			No
	536731A1	4/93	EPO			
	1-309242	12/89	JAPAN			Translatio
	0 299 461	1/89	EPO			
XAMINER			DATE CONSIDERED			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified) ATTY DOCKET NO. APPLICATION NO. NOT YET ASSIGNED 03500.010106.5 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE APPLICANT TOSHIKAZU OHNISHI ET AL. LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) FILING DATE **GROUP** FILED HEREWITH 2879 OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) "Metal Influence on Switching MIM Diodes", H. Pagnia, et al., Phys. Stat. Sol. (a), 111, 387 (1989) "Scanning Tunnelling Microscopic Investigations of Electroformed Planar Metal-Insulator-Metal Diodes," H. Pagnia, N. Sotnik and W. Wirth, Int. J. Electronics, Vol. 69, No. 1, 25-32 (1990)"Energy Distribution of Emitted Electrons from Electroformed MIM Structures: The Carbon Island Model," M. Bischoff, H. Pagnia and J. Trickl, Int. J. Electronics, Vol. 73, No. 5, 1009-1010 (1992) "Thin Film Handbook," Committee 131 of Japanese Society for the Promotion of Art and Science "On the Electron Emission from Evaporated Thin Au Films," M. Bischoff, R. Holzer and H. Pagnia, Physics Letters, Vol. 62A, No. 7 (October 3, 1977) "The Electroforming Process in MIM Diodes," Vol. 85, R. Blessing, H. Pagnia and N. Sotnik, Thin Solid Films, 119-128 (1981) "Evidence for the Contribution of an Adsorbate to the Voltage-Controlled Negative Resistance of Gold Island Film Diodes," R. Blessing, H. Pagnia and R. Schmitt, Thin Solid Films, Vol. 78, 397-401 (1981) "Water-Influenced Switching in Discontinuous Au Film Diodes," R. Muller and H. Pagnia, Materials Letters, Vol. 2, No. 4A, 283-285 (March 1984) "Influence of Organic Molecules on the Current-Voltage Characteristic of Planar MIM Diodes," H. Pagnia, N. Sotnik and H. Strauss, Phy. Stat. Sol., Vol. 90, 771-778 (1985) "Influence of Gas Composition on Regeneration in Metal/Insulator/Metal Diodes," M. Borbonus, H. Pagnia and N. Sotnik, Thin Solid Films, Vol. 151, 333-342 (1987) "Prospects for Metal/Non-Metal Microsystems: Sensors, Sources and Switches," H. Pagnia, Int. J. Electronics, Vol. 73, No. 5, 319-825 (1992) W.P. Dyke, et al., "Field Emission," Advances in Electronics and Electron Physics, 1956, pp. 90-185 C.A. Spindt, et al. "Physical Properties of Thin-Film Field Emission Cathodes With Molybdenum Cones," J. Appl. Phys., Vol. 47 (1976) pp. 5248-5263 C.A. Mead, "Operation of Tunnel-Emission Devices," J. Appl. Phys., Vol. 32, (1961) pp. 646-652

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		G. Dittner, "Electrical Condu Solid Films, 9, (1972) pp. 317	onduction and Electron Emission of Discontinuous Thin Films," Thin . 317-328					
		H. Hartwell, et al, "Strong Electron Emission From Patterned Tin-Indium Oxide Thin Films," Int'l Electron Devices Meeting (1975) pp. 519-521						
		M. Araki, "Electroforming and Electron Emission of Carbon Thin Films," J. Vac. Soc. Japan, 26, (1983) pp. 22-29						
		"Carbon-Nanoslit Model for the Electroforming Process in MIM Structures," M. Bischoff, Int. J. Electronics, Vol. 70, No. 3, 491-498 (1991)						
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